

Oct. 23. 2006 10:40AM

10F3

No. 6267 P. 1

10/23/06

Emmitt Carroll
Fax: 619 469-3245

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according to my records
I Do NOT Have the
File WFSS File No: 30441
and Do NOT Have the
File L&P File No: 00-1840.
But I ^{DO} Have the Files
1883, 00-560-1883, KA No: 00-1840
and
Client File 570 276 1883K

IF you have any questions. please feel free
to Call 1-800-591-1891

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No. 6267 P. 2

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FULLER
SHULTZ &
SMITH P.C.

LAWYERS
EST. 1887

300 South Phillips Avenue
Suite 300
Post Office Box 5027
Sioux Falls, South Dakota
57117-5027

Telephone (605) 336-3890
Telecopier (605) 339-3357

www.wfss.com

Merle A. Johnson
Richard O. Gregerson
William Taylor
Orry P. Thimsen
Bradley C. Grossenburg
James M. Wiederrick
Comet H. Haraldson
J. G. Shultz
Roger W. Damgaard
Frederick M. Entwistle
David C. Kroon
James E. Moore
Kristine Kreiter O'Connell
Elizabeth A. Lewis
Tim R. Shattuck
Melanie L. Carpenter
William G. Beck
Daniel J. Harmelink
Jennifer L. Wollman
Lisa J. Marshik
Christopher J. Lent
Troy N. Leonard
Jeffrey A. Proehl
Gregory S. Starnes
Jeffrey L. Bratkiewicz
Carey A. Miller
Mary A. Akkerman
J. Vincent Jones
Cheri S. Raymond
Sander J. Morehead
Matthew P. Back
Ryan W. Snell
James A. Power
Justin O. Smith
Sara B. Greff

Attorneys also admitted in
Iowa, Minnesota
and Nebraska

Melvin T. Woods
(1899-1983)
Howell L. Fuller
(1909-1981)
John B. Shultz
(1912-1975)
Francis M. Smith

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October 12, 2006

Jeffrey A. Proehl
Jeff.Proehl@woodsfuller.com

Emmitt Carroll
12029 Royal Road
Space #2
El Cajon CA 92021

Re: Filing of the First Amendment to Your U.S. Patent Application
Title: UMBRELLA/CUP HOLDER DEVICE
WFSS File No.: 30441
L&P File No.: 00-1840

Dear Emmitt:

Enclosed please find a copy of the first Amendment that was faxed to the U.S. Patent and Trademark Office on October 11, 2006, in response to the Office Action dated July 11, 2006. This Amendment made certain changes in the claims that we hope will distinguish the claims of your application from those patents cited by the Examiner.

We should receive a response from the Examiner in approximately 4 months and will send you a copy of the Examiner's response to our amendment, so that the appropriate action can be taken at that time.

Please review the Amendment. If you have any questions or comments, please forward them to our office in writing with your WFSS File No. 30441 and your L&P File No. 00-1840, so we can add them to your file.

Respectfully yours,

WOODS, FULLER, SHULTZ & SMITH P.C.

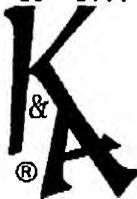


Jeffrey A. Proehl

Enclosure: Copy of Amendment

Oct. 23, 2006 10:40AM

No. 6267 P. 3



Kaardal & Associates, PC

Attorneys At Law

3500 South First Avenue Circle - Suite 250
Sioux Falls, South Dakota 57105-5802

PATENTS
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May 30, 2003

Emmitt Carroll
4023 O St #2
Bakersfield CA 93301

Re: CLOSING OF THE BELOW LISTED UTILITY APPLICATION FILE

Title: **UMBRELLA/CUP HOLDER DEVICE**
Client File No.: SGO1883
K&A File No. 00-1840

Dear Emmitt:

Our records reflect that on September 21, 2001, our office mailed to you a utility patent application for your review, approval and signature. As of the date of this letter, we have not received the signed application and/or monies for government fees back from you. Accordingly, the purpose of this letter is to ensure that your failure to act reflects your decision to abandon the matter.

In the event we do not hear from you within three weeks of the date of this letter, we will close your file and forward to ISC an invoice for work completed and not yet billed, as well as the administrative costs associated with the closing of your file. A copy of this invoice is enclosed for your convenience and there is nothing further that you will owe Kaardal & Associates, P.C.

Thank you again for allowing us to assist you with your patent application.

Respectfully yours,


Ivar M. Kaardal
For the Firm
Enclosure

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LAWYERS
EST. 1887

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Suite 300
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Sioux Falls, South Dakota
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Telephone (605) 336-3890
Telecopier (605) 339-3357

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(1912-1975)

OCT 23 2006

FAX COVER SHEET

FAX #: (571) 273-8300
TO: Commissioner for Patents
ATTENTION: Examiner Tri M. Mai
ART GROUP NO.: 3727
FROM: Jeffrey A. Proehl Wanita Jacobsma
 DeniseMoani Nelson

RE: Appln. No. 10/713,693 Filing Date: 11/14/2003
Applicant: CARROLL, Emmitt

Enclosed:
Amendment of 10 pages

Total Number of Pages (Including cover sheet): 11 (Please call us as soon as possible if you do not receive all pages).

Certificate of Transmission

I hereby certify that this correspondence is being facsimile transmitted to the Patent and Trademark Office to 571-273-8300 on October 11, 2006.



Signature

Jeffrey A. Proehl
 Wanita Jacobsma
 DeniseMoani Nelson

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